FORM NLRB-501 (3-21)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
07-CA-285476	10-29-2021	

INSTRUCTIONS: File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring. 1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT a. Name of Employer b. Tel. No. Kellogg Company c. Cell No. (610) 823-0981 f. Fax. No. d. Address (Street, city, state, and ZIP code) e. Employer Representative Kenneth Hurley, Director, Human Resources g. e-mail One Kellogg Square kenneth.hurley@kellogg.com PO Box 3599 Battle Creek, MI 49016 h. Number of workers employed 1,400 i. Type of Establishment (factory, mine, wholesaler, etc.) i. Identify principal product or service Cereal Manufacturing The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) and (list subsections) (3) and (5) of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are practices affecting commerce within the meaning of the Act and the Postal Reorganization Act. 2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices) On October 5, 2021, the above-named Employer, through its officers, agents and representatives, brought a lawsuit against the Charging Parties in the United States District Court for Nebraska, entitled Kellogg Company v. BCTGM International Union, BCTGM Local 3G, BCTGM Local 50G, BCTGM Local 252G and BCTGM Local 374G, and docketed as Case No. 8:21-cv-00391. The lawsuit asserts the objectively baseless claim that the ongoing strike at Kellogg's four ready-to-eat-cereal plants breaches the expired Master Agreement, and was brought for a retaliatory purpose, to coerce and restrain employees in the lawful exercise of their Section 7 rights - and, in particular, the right to participate in a strike. 3. Full name of party filing charge (if labor organization, give full name, including local name and number)
There are five Charging Parties: BCTGM International Union, AFL-CIO, and BCTGM Locals 3G, 50G, 252G and 374G 4a. Address (Street and number, city, state, and ZIP code) 4b. Tel. No. International Union: 10401 Connecticut Ave., Floor 4, Kensington, MD 20895 (301) 933-8600 Local 3G: 1006 N. Raymond Road, Battle Creek, MI 49014 (269) 965-3297 4c. Cell No. Local 50G: 8984 J Street, Omaha, NE 68127 (402) 217-0008 Local 252G: 3885 S. Perkins Road, Suite 9, Memphis TN 38118 (901) 239-6289 Local 374G: 3543 Marietta Ave #M, Lancaster, PA 17601 (717) 285-2279 4d. Fax No. 4e. e-mail 5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization) Bakery, Confectionery, Tobacco Workers & Grain Millers International Union, AFL-CIO, CLC Tel. No. 6. DECLARATION (202) 842-2600 I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief. Office, if any, Cell No. Devki K. Virk

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

(Print/type name and title or office, if any)

Date October 29, 2021

Fax No. (202) 842-1888

dvirk@bredhoff.com

ature of representative or person making charge)

Address Floor, Washington, DC 20005

Bredhoff & Kaiser, P.L.L.C., 805 15th Street NW, 10th

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 7

Patrick V. McNamara Federal Building 477 Michigan Avenue, Room 05-200 Detroit, MI 48226



Download NLRB Mobile App

Agency Website: www.nlrb.gov Telephone: (313)226-3200 Fax: (313)226-2090

November 2, 2021

Bakery, Confectionery, Tobacco Workers Grain Millers International Union (BCTGM), AFL-CIO, CLC 10401 Connecticut Avenue, Floor 4 Kensington, MD 20895

Local 3G, Bakery, Confectionery, Tobacco Workers & Grain Millers International Union (BCTGM), AFL-CIO, CLC 1006 North Raymond Road Battle Creek, MI 49014

Local 50G, Bakery, Confectionery, Tobacco Workers & Grain Millers International Union (BCTGM), AFL-CIO, CLC 8984 J Street
Omaha, NE 68127

Local 252G, Bakery, Confectionery, Tobacco Workers & Grain Millers, International Union (BCTGM), AFL-CIO, CLC 3885 South Perkins Road, Suite 9
Memphis, TN 38118

Local 374G, Bakery, Confectionery, Tobacco Workers & Grain Millers International Union (BCTGM), AFL-CIO 3543 Marietta Avenue, # M Lancaster, PA 17601

Re: Kellogg Company Case 07-CA-285476

Dear Sir or Madam:

The charge that you filed in this case on October 29, 2021 has been docketed as case number 07-CA-285476. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney Rana Roumayah whose telephone number is (313)335-8064. If this Board agent is not available, you may contact Supervisory Field Attorney Andrew M. MacEachern whose telephone number is (313)335-8032.

- 2 -

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Elizabeth Kewin

Elizabeth Kerwin Regional Director

cc: Devki K. Virk, Counsel
Bredhoff & Kaiser, P.L.L.C.
805 15th Street, NW
10th Floor
Washington, DC 20005



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 7 Patrick V. McNamara Federal Building 477 Michigan Avenue, Room 05-200 Detroit, MI 48226



Download NLRB Mobile App

November 2, 2021

Agency Website: www.nlrb.gov

Telephone: (313)226-3200

Fax: (313)226-2090

Mr. Kenneth Hurley Director, Human Resources Kellogg Company One Kellogg Square P.O. Box 3599 Battle Creek, MI 49016

Re: Kellogg Company

Case 07-CA-285476

Dear Mr. Hurley:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney Rana Roumayah whose telephone number is (313)335-8064. If this Board agent is not available, you may contact Supervisory Field Attorney Andrew M. MacEachern whose telephone number is (313)335-8032.

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<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Elizabeth Kewin

Elizabeth Kerwin Regional Director

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

UNITED STATES OF AMERICA

BEFORE THE NATIONAL LABOR RELATIONS BOARD

KELLOGG COMPANY

Charged Party

and

BAKERY, CONFECTIONERY, TOBACCO WORKERS AND GRAIN MILLERS INTERNATIONAL UNION (BCTGM), AFL-CIO, CLC

Charging Party

Case 07-CA-285476

AFFIDAVIT OF SERVICE OF CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, state under oath that on November 2, 2021, I served the above-entitled document(s) by post-paid regular mail upon the following persons, addressed to them at the following addresses:

Mr. Kenneth Hurley Director, Human Resources Kellogg Company One Kellogg Square P.O. Box 3599 Battle Creek, MI 49016 Devki K. Virk, Counsel Bredhoff & Kaiser, P.L.L.C. 805 15th Street, NW 10th Floor Washington, DC 20005

Local 374G, Bakery, Confectionery, Tobacco Workers & Grain Millers International Union (BCTGM), AFL-CIO 3543 Marietta Avenue, # M Lancaster, PA 17601

Local 50G, Bakery, Confectionery, Tobacco Workers & Grain Millers International Union (BCTGM), AFL-CIO, CLC 8984 J Street Omaha, NE 68127 Local 252G, Bakery, Confectionery, Tobacco Workers & Grain Millers, International Union (BCTGM), AFL-CIO, CLC 3885 South Perkins Road, Suite 9 Memphis, TN 38118

Local 3G, Bakery, Confectionery, Tobacco Workers & Grain Millers International Union (BCTGM), AFL-CIO, CLC 1006 North Raymond Road Battle Creek, MI 49014 Bakery, Confectionery, Tobacco Workers Grain Millers International Union (BCTGM), AFL-CIO, CLC 10401 Connecticut Avenue, Floor 4 Kensington, MD 20895

November 2, 2021	Carol A. Koper, Designated Agent of
	NLRB
Date	Name
	/s/ Carol A. Koper
	Signature

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

Kellogg Company,		
Charged Party,		
and	CASE 07-CA-285476	
BCTGM International Union, Locals 3G, 50G, 252G, and 374G,	CASE 01-0A-200410	
Charging Parties.		
REGIONAL DIRECTOR EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT	IVE OF	
Kellogg Company		
IN THE ABOVE-CAPTIONED MATTER.		
CHECK THE APPROPRIATE BOX(ES) BELOW: REPRESENTATIVE IS AN ATTORNEY IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THAT THE PARTY MAY RECEIVE COPIES OF CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ADDITION TO THOSE DESCRIBED BELOW, THIS BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WILL RECEIVE ONLY COPIES OF CERTAIN DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS AS DESCRIBED IN SEC. 11842.3 OF THE CASEHANDLING MANUAL.		
(REPRESENTATIVE INFOR	MATION)	
NAME: Keith E. Eastland		
MAILING ADDRESS: Miller Johnson - 45 Ottawa Avenue SW, Suite 1100, Grand Rapids, MI 49503		
eastlandk@milleriohnson.com		
E-MAIL ADDRESS: eastlandk@millerjohnson.com		
OFFICE TELEPHONE NUMBER: 616.831.1749	040,000,4740	
CELL PHONE NUMBER:	616.988.1749	
SIGNATURE: /s/ Keith E. Eastland DATE: November 17, 2021		
DATE: November 17, 2021		

 $^{^1}$ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

Kellogg Company,		
Charged Party,		
and BCTGM International Union, Locals 3G, 50G, 252G, and 374G, Charging Parties.	CASE 07-CA-285476	
REGIONAL DIRECTOR EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT Kellogg Company	IVE OF	
IN THE ABOVE-CAPTIONED MATTER.		
CHECK THE APPROPRIATE BOX(ES) BELOW: REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY OF DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS WILL RECEIVE ONLY COPIES OF CERTAIN	
(REPRESENTATIVE INFOR	MATION)	
NAME: David M. Buday		
MAILING ADDRESS: Miller Johnson - 100 West Michigan Avenue, Suite 200, Kalamazoo, MI 49007		
E-MAIL ADDRESS: budayd@millerjohnson.com		
OFFICE TELEPHONE NUMBER: 269.226-2952		
CELL PHONE NUMBER:	F4X: 269.978.2952	
SIGNATURE: DATE: November 17,2021		

¹ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.